

Date: November 16, 2004

Inventor(s): Al MacKnight  
Serial No. 10/611,490  
Filed : June 30, 2003  
For : DIRECT CONTACT LIQUID PHASE



CONTAMINANT CONTROL SYSTEM

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450

**000128**

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Sir:

Transmitted herewith for filing is:

- ☒ Supplemental Information Disclosure Statement, Supplemental Form PTO1449 and copies of 4 references
- ☒ Return Receipt Postcard
- ☒ No additional claim fee is required.

☐ Please charge my Deposit Account No. 01-1125 the amount of \$ \_\_\_\_\_. A copy of this transmittal letter is enclosed.

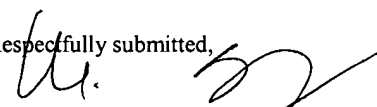
☐ A check in the amount of \$ \_\_\_\_\_ to cover the extension fee is enclosed.

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☒ The Commissioner is hereby authorized to charge payment of the following fees with this communication or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this transmittal letter is enclosed.

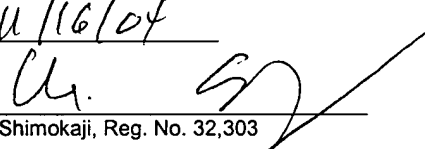
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Michael A. Shimokaji, Reg. No. 32,303

Honeywell International Inc.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, NJ 07962-9806  
(310) 512-4886  
Attn: Oral Caglar

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 11/16/04  
Michael A. Shimokaji, Reg. No. 32,303

JPW



PATENT  
H0002000-3138

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Al MacKnight Serial No.: 10/611,490 Filing Date: June 30, 2003 For: DIRECT CONTACT LIQUID AIR CONTAMINANT CONTROL SYSTEM	
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**SUPPLEMENTAL COMMENTARY RE INFORMATION DISCLOSURE STATEMENT**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits a copy of the prior art listed on the attached Supplemental Information Disclosure Statement (Form PTO-1449). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application. Only copies of non US patents and patent applications are enclosed, if any.

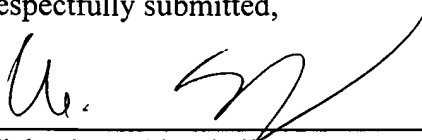
Applicant states:

- ☒ (1) That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ (2) That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Dated: November 16, 2004

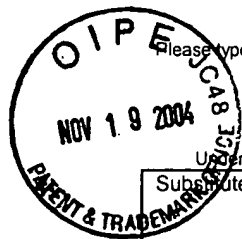
A handwritten signature in black ink, appearing to read 'Michael A. Shimokaji', is written over a horizontal line.

Michael A. Shimokaji, Reg. No. 32,303

Oral Caglar, Reg. No. 44,577

Attorneys for Applicant

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**(310) 512-4886**



Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

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Substitute for form 1449A/PTO

# **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheet as necessary)

*Complete if Known*

Application Number	10/611,490
Filing Date	6/30/2003
First Named Inventor	Al MacKnight et al.
Group Art Unit	none
Examiner Name	none
Attorney Docket Number	H0002000-3138

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
		US-			
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	11	International Search Report	10-22-2004			
	12	EP 1201290A	05-02-2002	Ebara Corp.		
	13	EP 1204193A	12-10-1986	Man Technologie GMBH		
	14	GB 1011045A	11-24-1965	Normalair LTD		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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